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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/719,896 11/21/2003 John N. Nuss PP-01677.006 1440 27476 06/07/2006 EXAMINER **Chiron Corporation** BALASUBRAMANIAN, VENKATARAMAN Intellectual Property - R440 P.O. Box 8097 ART UNIT PAPER NUMBER Emeryville, CA 94662-8097 1624

DATE MAILED: 06/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)	
Office Action Summary		10/719,896	NUSS ET AL.	
		Examiner	Art Unit	
		Venkataraman Balasubramanian	1624	
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply				
Extensions of time may be available un after SIX (6) MONTHS from the mailing If NO period for reply is specified above Failure to reply within the set or extend	FROM THE MAILING D, nder the provisions of 37 CFR 1.1 g date of this communication. e, the maximum statutory period of the period for reply will, by statute han three months after the mailing.	LY IS SET TO EXPIRE 3 MONTH(DATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONET g date of this communication, even if timely filed.	N. nely filed the mailing date of this communication.	
Status				
1) Responsive to commun	nication(s) filed on 22 M	farch 2006.	•	
2a)⊠ This action is FINAL .				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims		• •		
4)⊠ Claim(s) <u>1-34 and 37-43</u> is/are pending in the application.				
4a) Of the above claim(s) is/are withdrawn from consideration.				
5) Claim(s) is/are allowed.				
6)⊠ Claim(s) <u>1-34 and 37-43</u> is/are rejected.				
7) Claim(s) is/are objected to.				
8) Claim(s) are subject to restriction and/or election requirement.				
Application Papers				
•	atad to by the Everning	-		
9)☐ The specification is objected to by the Examiner. 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.				
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).				
11) The oath or declaration is	s objected to by the Ex	on is required if the drawing(s) is objection aminer. Note the attached Office A	cted to. See 37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119		animor. Note the attached Office A	ACTION OF FORM PTO-152.	
_	a af a alaba fantanatus	• • • • • • • • • • • • • • • • • • •		
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:				
and a spinor of the priority documents have been received.				
— "The state of the priority documents have been received in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the actification.				
* See the attached detailed Office action for a list of the certified copies not received.				
Attachment(s)				
1) Notice of References Cited (PTO-892	2)	4) 🔲 Interview Summary (P	'TO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date Notice of Informal Patent Application (PTO-152)				
Paper No(s)/Mail Date <u>3/22/2006</u> . 6) Other:				

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DETAILED ACTION

Applicants' response, which included addition of new claims 37-43, amendment to claims 1, 2, 5, 8, 9, 13-15, 17, 23, 26, 29, 30, 33 and 34 and cancellation of claims 35, 36 and second occurrence of claim 34, filed on 3/22/2006, is made of record.

Claims 1-34 and 37-43 are now pending. In view of applicants' response, the following apply.

Information Disclosure Statement

References cited in the Information Disclosure Statement, filed on 3/22/2006, are made of record.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-34 and 37-43 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The following apply. Any claim not specifically rejected is rejected as it dependent on a rejected claim and shares the same indefiniteness.

1. Recitation of "ester or prodrug thereof" in claim 1 renders claim 1 and its dependent claims 2-34 and 37-43 indefinite. Esters and prodrugs in general and as noted in specification, are compounds, which undergo in vivo hydrolysis to parent active drugs. In that sense recitation of prodrug is acceptable. However, the compound of formula I includes esters and amide groups and therefore it is not clear what is the

difference between these groups and the prodrug groups. There is clear-cut ambiguity as to what is to be considered as prodrug and what is not. Applicants should note that if the variable groups are prodrug, which are in general inactive but becomes active upon in vivo transformation, then the compound bearing the variable group would be deemed as inactive which is not what the claim recites.

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Furthermore, the issue on second paragraph is whether the structures of the claimed compounds are clearly defined. Applicants' "prodrug or ester" are molecules whose structure lie outside the subject matter of formula (I), but upon metabolism in the body are converted to active compounds falling within the structural scope of formula (I). The claim describes the function intended but provides no specific structural guidance to what constitutes a "prodrug". Structural formulas, names, or both can accurately describe organic compounds, which are the subject matter of claim 1. Attempting to define means by function is not proper when the means can be clearly expressed in terms that are more precise.

This rejection is same as made in the previous office action but now includes newly added claims 37-43 and excludes cancelled claims 35 and 36.

Applicants' amendment indicates that the term ester and prodrug were deleted form claim 1. However, claim 1 still has these terms. Hence, this rejection is maintained.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

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Claims 1-34 and 37-43 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for making salts of the claimed compounds, does not reasonably provide enablement for making prodrug or ester other than those recited as part of variable definition of the claimed compounds. The claim(s) contains subject matter that was not described in the specification in such a way as to enable one skilled in the art of medicinal chemistry - to use the invention. "The factors to be considered in making an enablement rejection have been summarized as the quantity of experimentation necessary, the amount of direction or guidance presented, the presence or absence of working examples, the nature of the invention, the state of the prior art, the relative skill of those in that art, the predictability or unpredictability of the art and the breadth of the claims", In re Rainer, 146 USPQ 218 (1965); In re Colianni, 195 USPQ 150, Ex parte Formal, 230 USPQ 546. a) Finding a prodrug is an empirical exercise. Predicting if a certain ester of a claimed alcohol, for example, is in fact a prodrug, and produces the active compound metabolically, in man, at a therapeutic concentration and at a useful rate is filled with experimental uncertainty. Although attempts have been made to predict drug metabolism 'de novo, this is still an experimental science. For a compound to be a prodrug, it must meet three tests. It must itself be biologically inactive. It must be metabolized to a second substance in a human at a rate and to an extent to produce that second substance at a physiologically meaningful concentration. Thirdly, that second substance must be biologically active. Thus, determining whether a particular compound meets these three criteria in a clinical trial setting requires a large quantity of experimentation.

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There is no working example of a prodrug of a compound the formula (I). The nature of the invention is clinical use of compounds and the pharmacokinetic behavior of substances in the human body. The state of the prodrug art is summarized by Wolff (Medicinal Chemistry). The table on the left side of page 976 outlines the research program to be undertaken to. find a prodrug. The second paragraph in section 10 and the paragraph spanning pages 976-977 indicate the low expectation of success. In that paragraph the difficulties of extrapolating between species are further developed. Since, the prodrug concept is a pharmacokinetic issue, the lack of any standard pharmacokinetic protocol discussed in the last sentence of this paragraph is particularly relevant. Banker (Modem Pharmaceutics) in the first sentence, third paragraph on page 596 states that "extensive development must be undertaken" to find a prodrug. Wolff (Medicinal Chemistry) in the last paragraph on page 975 describes the artisans making Applicants' prodrugs as a collaborative team of synthetic pharmaceutical chemists and metabolism experts. All would have a Ph. D. degree and several years of industrial experience. It is well established that "the scope of enablement varies inversely degree of unpredictability of the factors involved", 'and physiological activity is generally considered to be an unpredictable factor. See In re Fisher, 427 F.2d 833, 839, 166 USPQ 18, 24 (CCPA 1970). h) The breadth of the claims includes all of the hundreds of thousands of compounds of formula of claim I as well as the presently unknown list potential prodrug derivatives embraced by the word "prodrug".

Thus, undue experimentation will be required to determine if any particular derivative is, in fact, a prodrug.

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MPEP 2164.01(a) states, "A conclusion of lack of enablement means that, based on the evidence regarding each of the above factors, the specification, at the time the application was filed, would not have taught one skilled in the art how to make and/or use the full scope of the claimed invention without undue experimentation. In re Wright, 999 F.2d 1557,1562, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993)." That conclusion is clearly justified here. Thus, undue experimentation will be required to make Applicants' invention.

Applicants' amendment indicates that the term ester and prodrug were deleted form claim 1. However, claim 1 still has these terms. Hence, this rejection is maintained.

Claims 23-25 and 26-34 are rejected under U.S.C. 112, first paragraph, because the specification while being enabling for treating breast cancer, does not reasonably provide enablement for treating any or all abnormal cell growth or any or all cancers and or any or all proliferation of capillary. The specification does not enable any physician skilled in the art of medicine, to use the invention commensurate in scope with these claims.

The instant method of use claims 26-34 are drawn to "treatment of cancer" and inhibition proliferation of capillaries by inhibiting phosphotidylinositol (PI)-3-kinase activity and the pharmaceutical composition claims 23-25 with intended relate to use for treatment of cancer in general.

As recited, claims 23-34 are reach through claims. Reach through claims, in general have a format drawn to mechanistic, receptor binding or enzymatic functionality

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and thereby reach through any or all diseases, disorders or conditions for which they lack written description and enabling disclosure in the specification. In the instant case, because of inhibition of phosphotidylinositol (PI)-3-kinase activity in general by compound formula I, it is recited that instant compounds are useful for treatment of any or all disease stated above for which there is no adequate written description and enabling disclosure in the instant specification.

The scope of the claims includes any or all cancer or any or all proliferative capillaries diseases due to phosphotidylinositol (PI)-3- kinase inhibition including those yet to be discovered as due said mode of action for which there is no enabling disclosure. In addition, the scope of these claims includes treatment of various cancers which as recited can include group consisting of lung cancer, bone cancer, pancreatic cancer, skin cancer, cancer of the head or neck, cutaneous or intraocular melanoma, uterine cancer, ovarian cancer, rectal cancer, cancer of the anal region. stomach cancer, colon cancer, breast cancer, uterine cancer, carcinoma of the fallopian tubes, carcinoma of the endometrium, carcinoma of the cervix, carcinoma of the vagina, carcinoma of the vulva, Hodgkin's disease, cancer of the esophagus, cancer of the small intestine, cancer of the endocrine system, cancer of the thyroid gland, cancer of the parathyroid gland, cancer of the adrenal gland, sarcoma of soft tissue, cancer of the urethra, cancer of the penis, prostate cancer, chronic or acute leukemia, lymphocytic lymphomas, cancer of the bladder, cancer of the kidney or ureter, renal cell carcinoma, carcinoma of the renal pelvis, neoplasms of the central nervous system (CNS), primary CNS lymphoma, spinal axis tumors, brain stem glioma, pituitary adenoma, or a combination of one or more of

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the foregoing cancers, which is not adequately enabled solely based on the activity of the compounds provided in the specification. The instant compounds are disclosed to have phosphotidylinositol (PI)-3- kinase inhibitory activity and it is recited that the instant compounds are therefore useful in treating any or all diseases stated above for which applicants provide no competent evidence. It appears that the applicants are asserting that the embraced compounds because of their mode action as phosphotidylinositol (PI)-3- kinase inhibitor that would be useful for all sorts of proliferative capillaries diseases and cancers. However, the applicants have not provided any competent evidence that the instantly disclosed tests are highly predictive for all the uses disclosed and embraced by the claim language for the intended host. Moreover many if not most of diseases such as psoriasis, lung cancer, brain cancer, pancreatic cancer, colon cancer etc. are very difficult to treat and despite the fact that there are many anticancer drugs.

The scope of the claims, as generically recited in claim1, includes thousands and thousands of compounds of claim 1 as well as the thousand of diseases embraced by the terms proliferative capillaries and cancer.

Proliferative disease would include benign tumors, malignant tumors, polyps, lumps, lesions, other pre-cancerous conditions, psoriasis, leukemia, the hyper proliferation of the gastric epithelium caused by the Helicobacter pylori infection of ulcers.

Cancer is just an umbrella term. Tumors vary from those so benign that they are never treated to those so virulent that all present therapy is useless.

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No compound has ever been found to treat proliferative diseases of all types generally. Since this assertion is contrary to what is known in medicine, proof must be provided that this revolutionary assertion has merits. The existence of such a "compound" is contrary to our present understanding of oncology. Cecil Textbook of Medicine states, "each specific type has unique biologic and clinical features that must be appreciated for proper diagnosis, treatment and study" (see the enclosed article, page 1004). Different types of cancers affect different organs and have different methods of growth and harm to the body. Thus, it is beyond the skill of oncologists today to get an agent to be effective against cancers generally. Note substantiation of utility and its scope is required when utility is "speculative", "sufficiently unusual" or not provided. See Ex parte Jovanovics, 211 USPQ 907, 909; In re Langer 183 USPQ 288. Also note Hoffman v. Klaus 9 USPQ 2d 1657 and Ex parte Powers 220 USPQ 925 regarding type of testing needed to support in vivo uses.

Next, applicant's attention is drawn to the Revised Utility and Written Description Guidelines, at 66 FR 1092-1099, 2001 wherein it is emphasized that 'a claimed invention must have a specific and substantial utility'. The disclosure in the instant case is not sufficient to enable the instantly claimed method treating solely based on the inhibitory activity disclosed for the compounds. The state of the art is indicative of the requirement for undue experimentation. See Li et al., Breast Cancer Res. Treat. 1-5, 2005, Crowder et al., Breast Cancer Res., 7(5): 212-214, 2005, Nahta et al., Curr. Med. Chem. Anti-Canc. Agents., 3(3) 201-216, 2003(PubMed Abstracts provided).

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In evaluating the enablement question, several factors are to be considered. Note *In re Wands*, 8 USPQ2d 1400 and *Ex parte Forman*, 230 USPQ 546. The factors include: 1) The nature of the invention, 2) the state of the prior art, 3) the predictability or lack thereof in the art, 4) the amount of direction or guidance present, 5) the presence or absence of working examples, 6) the breadth of the claims, and 7) the quantity of experimentation needed.

- 1) The nature of the invention: Therapeutic use of the compounds in treating disorders/diseases that require phosphotidylinositol (PI)-3- kinase inhibitory activity.
- 2) The state of the prior art: Recent publications expressed that the phosphotidylinositol (PI)-3- kinase inhibition effects are unpredictable and are still exploratory. See Li et al., Crowder et al., and Nahta et al., cited above which limits the use for breast cancer with reservation.
- 3) The predictability or lack thereof in the art: Applicants have not provided any competent evidence or disclosed tests that are highly predictive for the pharmaceutical use for r treating any or all cancers of the instant compounds. Pharmacological activity in general is a very unpredictable area. Note that in cases involving physiological activity such as the instant case, "the scope of enablement obviously varies inversely with the degree of unpredictability of the factors involved". See *In re Fisher*, 427 F.2d 833, 839, 166 USPQ 18, 24 (CCPA 1970).
- 4) The amount of direction or guidance present and 5) the presence or absence of working examples: Specification has no working examples to show treating any or all cancers or inhibition of any or all proliferative capillaries diseases and the state of the

art is that the effects of phosphotidylinositol (PI)-3- kinase activity inhibitors are unpredictable.

- 6) The breadth of the claims: The instant claims embrace any or all proliferative diseases and cancers including those yet to be related to phosphotidylinositol (PI)-3-kinase activity.
- 7) The quantity of experimentation needed would be an undue burden to one skilled in the pharmaceutical arts since there is inadequate guidance given to the skilled artisan, regarding the pharmaceutical use, for the reasons stated above.

Thus, factors such as "sufficient working examples", "the level of skill in the art" and "predictability", etc. have been demonstrated to be sufficiently lacking in the instant case for the instant method claims. In view of the breadth of the claims, the chemical nature of the invention, the unpredictability of enzyme-inhibitor interactions in general, and the lack of working examples regarding the activity of the claimed compounds towards treating the variety of diseases of the instant claims, one having ordinary skill in the art would have to undergo an undue amount of experimentation to use the instantly claimed invention commensurate in scope with the claims.

MPEP §2164.01(a) states, "A conclusion of lack of enablement means that, based on the evidence regarding each of the above factors, the specification, at the time the application was 'filed, would not have taught one skilled in the art how to make and/or use the full scope of the claimed invention without undue experimentation. In re Wright, 999 F.2d 1557,1562, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993)." That conclusion

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is clearly justified here and undue experimentation will be required to practice Applicants' invention.

This rejection is same as made in the previous office action but now excludes cancelled claims 35 and 36.

Applicants' traversal to overcome this rejection is not persuasive.

First of all, as noted above, claims 23-34 are reach through claims. Reach through claims, in general have a format drawn to mechanistic, receptor binding or enzymatic functionality and thereby reach through any or all diseases, disorders or conditions for which they lack written description and enabling disclosure in the specification. In the instant case, because of inhibition of phosphotidylinositol (PI)-3-kinase activity in general by compound formula I, it is recited that instant compounds are useful for treatment of any or all disease stated above for which there is no adequate written description and enabling disclosure in the instant specification. Applicants' argument clearly relies on this mode of action rather objective enablement of any or all diseases generically embraced in the instant claims.

Secondly, the references Cantley et al., and Escobedo et al., also do not support treating any or all diseases generically embraced in the instant claims. As for applicants urging examiner should show supporting evidence, as noted in the above, rejection examiner had relied two non patent literature and search in the relevant area does not lend support to treating any or all diseases based on the mode of action of instant compounds. Examiner had clearly met the burden by providing such references. Hence, Fiers v. Revel, 984 F2d 1164, is not to the point.

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Thirdly, Applicants' reliance on the Brana decision is also not to the point since the facts were different in more than one respect from the instant case. Compounds on appeal were of a much narrower scope and there were no method claims. Said compounds were similar in structure to compounds displaying in vivo anti-tumor activity based on art-recognized in vivo tests and also tested favorably in an in vivo test. Thus, contrary to Brana, it is not evident that at the time of applicants' effective filing that phosphotidylinositol(PI)-3-kinase activity inhibitors having such a diversity of substituents on pyrimidine core are well known for treating any disease urged treatable based simply on assay testing relied on herein.

Hence, this rejection is proper and is maintained.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Cabaj et al., J. Org. Chem. 59, 5090-5092.

In view of applicants' amendment to Y, W and R², this rejection has been obviated.

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Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Bundy et al., J. Med. Chem. 38, 4161-4163

In view of applicants' amendment to Y, W and R², this rejection has been obviated.

Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Chaudhari et al., WO 02/36586.

In view of applicants' amendment to Y, W and R², this rejection has been obviated.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein

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were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chaudhari et al., WO 02/36586.

In view of applicants' amendment to Y, W and R², this rejection has been obviated.

Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over Fischer et al., WO 01/72745.

In view of applicants' amendment to Y, W and R², this rejection has been obviated.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication from the examiner should be

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addressed to Venkataraman Balasubramanian (Bala) whose telephone number is (571)

272-0662. The examiner can normally be reached on Monday through Thursday from

8.00 AM to 6.00 PM. The Supervisory Patent Examiner (SPE) of the art unit 1624 is

James O. Wilson, whose telephone number is 571-272-0661. The fax phone number for

the organization where this application or proceeding is assigned (571) 273-8300. Any

inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is (571) 272-1600.

Information regarding the status of an application may be obtained from the

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have questions on access to the Private PAIR system, contact the Electronic Business

Center (EBC) at 866-2 17-9197 (toll-free).

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6/3/2006